

Docket No. 259933US2PCT

STOP DET #3  
DT08 Rec'd PCT/PTO 14 MAR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Wei MONIN, et al.

SERIAL NO: 10/511,033

GAU:

FILED: October 13, 2004

EXAMINER:

FOR: METHOD OF GENERATING A PERFORMANCE MODEL FROM A FUNCTIONAL MODEL

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 259933US2PCT		SERIAL NO. 10/511,033	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Wei MONIN, et al.			
				FILING DATE October 13, 2004		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2002/0022952	02/21/02	ZAGER, David et al.			
	AB	6 061 724	05/09/00	RIES, Alain et al.			
	AC						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AD	NACHEF, Armand. "Modelisation des systemes distribues", Technique et Science Informatiques, vol. 12, no. 2, pages 163-192, with English abstract 1993					
	AE	DIEFENBRUCH, Marc et al. "The QUEST-approach for the Performance Evaluation of SDL-Systems", Proceedings of IFIP Joint Int. Conf. on Formal Description Techniques for Distributed Systems and Communication Protocols, and Protocol Specification, Testing and Verification, pages 229-244, XP008012431 1996					
	AF	SHOUSH, Christiane et al. "Applying Performance Modelling to a Telecommunication System", Proceedings of the 1 <sup>st</sup> International Workshop on Software and Performance, pages 1-6, XP000846861 1998					
	AG	BAUSE, Falko et al. "Qualitative and Quantitative Analysis of Timed SDL Specifications", Springer-Verlag, pages 486-500 1993					
	AH	SMITH, Connie et al. "Performance Engineering Evaluation of Object-Oriented Systems with SPE-ED", Computer Performance Evaluation Modelling Techniques and Tools, no. 1245, pages 135-154 1997					
	AI	BUETOW, M. et al. "Performance Modelling with the Formal Specification Language SDL", FORTE-PST, pages 213-227 1996					
	AJ	STEPPLER, Martin et al. "SPEET - SDL Performance Evaluation Tool", SDL '97: Time for Testing, Proceeding of the 8 <sup>th</sup> SDL Forum, pages 53-67 1997					
	AK	DIEFENBRUCH, Marc. "Funktionale und quantitative Verifikation von zeit-und ressourcenerweiterten SDL-Systemen mittels Model-Checking", Proceeding of Messung, Modellierung und Bewertung van Rechen-und Kommunikationssystemen, pages 231-248 1997					
	AL	MITSCHELE-THIEL, Andreas et al. "Performance engineering of SDL/MSD systems", Computer Networks, vol. 31, pages 1801-1815 1999					
	AM	SCHAFFER, Chr. et al. "EaSy-Sim: A Tool Environment for the Design of Complex, Real-Time Systems", Proceeding International Conference on Computer Aided Systems Technologies, pages 358-374 1995					
	AN	EL-SAYED, Hesham et al. "Automated Performance Modeling from Scenarios and SDL Designs of Distributed Systems", Proceeding of International Symposium on Software Engineering for Parallel and Distributed Systems, pages 127-135 1998					
	AO	BAUSE, Falko et al. "SDL and Petri Net Performance Analysis of Communicating Systems", Doctoral Thesis, Dept. of Computer Science, University of Cape Town, pages 1-15 1997					
	AP	HENDAZ, M. "A Dynamic Annotation for Performance Evaluation of Systems Specified in Estelle", Doctoral Thesis from the University of Paris, pages 1-148, with English translation of Annexes 1 and 2 1996					
	AQ	MARTINS, Juan-Jose. "A System Engineering Methodology Integrating Performance Evaluation and Formal Specification", PhD thesis, l'Ecole Polytechnique de Lausanne, pages i-vi, 1-168 1996					
	AR	HECK, E. "Performance Evaluation of Formally Specified Systems: The Integration of SDL with HIT", Doctoral Thesis, University of Dortmund, pages 1-234, A1-C25 1996				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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STATEMENT OF RELEVANCY

1) References AA, AB, AD-AF have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.

2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.

3) References AG-AR are discussed in the specification. A copy of these references is being submitted here with.

4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.